



An Introduction to the Law on Financial Investment

Iain G. MacNeil

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This book provides a wide-ranging overview of the law and regulatory rules applicable to investment in financial instruments. Part 1 introduces the basic principles and structure of the law relating to financial investment. It explains the legal nature of financial instruments, the rationale for regulation and the history and development of the system of regulation in the United Kingdom. It includes an analysis of the main principles and regulatory techniques introduced by the Financial Services and Markets Act 2000. Part 2 examines investments and investors, explaining the legal nature and structure of the main forms of financial investment and examining the legal principles and regulatory rules that are relevant to institutional investment and private investors. Part 3 deals with finance and governance. In essence it explains the legal mechanisms through which investors supply money to companies seeking investment and the governance techniques that have been developed to allow investors to monitor investments and hold company directors accountable for their actions. Part 4 discusses how markets and market participants operate and are regulated, examining the nature of financial markets, their regulation and the legal rules that promote "clean" markets.

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